Docket No.: 6368P002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

R. HUGO PATTERSON

Application No.:

Filed:

For: Incremental Garbage Collection Of Data

In A Secondary Storage

Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted concurrently with the Utility Application. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Daniel M. DeVos, Reg. No. 37,813

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (408) 720-8300

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STATEMENT BY APPLICANT				First Named Inventor	R. Hugo Patterson		
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Sheet	1	of	2	Attorney Docket Number	6368P002		

-			U.S. PATE	NT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number - Kind Code² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-2001/0037323A1	11-01-2001	Moulton et al.	
		US-5,990,810	11-23-1999	Williams	
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	FOREIGN PATENT DOCUMENTS								
Examiner	Examiner Cite	Foreign Patent Document			Pages, Columns, Lines,				
Initials*	No.¹	Country Code³ - Number⁴ - Kind Code³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T			
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^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

^{&#}x27;Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

Substitute	for form 1449A/PTO			С	omplete if Known	
INIEO	RMATION D	vicei e	CUPE	Application Number		
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STAT	EMENT BY	APPLI	CANT	First Named Inventor	R. Hugo Patterson	
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				Examiner Name		
Sheet	2	of	2	Attorney Docket Number	6368P002	

	OTHER ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
	1	Bloom Filter, National Institute of Standards and Technology, (1 pg); Bloom Filters Short Tutorial (5 pgs); Flip Code - Tutorial - Coding Bloom Filters (6 pgs) dated 11/2/2002				
	2	Proceedings of the FAST 2002 Conference on File and Storage Technologies, USENIX Association; Monterey, California USA, January 28-30, 2002 (13 pgs)				
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^{&#}x27;Applicant's unique citation designation number. ¹Applicant is to place a check mark here if English language Translation is attached.